

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): George H. Butcher III	:	Group Art Unit: 3628
Serial No.: 09/724,039	:	Examiner: Harish T. Dass
Filed: November 28, 2000	:	Attorney Docket No.: 006878.110800
For: METHOD, SOFTWARE PROGRAM AND SYSTEM FOR ISOLATING RISK IN A FINANCIAL TRANSACTION	:	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(4). In this regard, it is noted that there has not yet been a mailing of a first Office Action after the filing of a Request For Continued Examination.

Of further note, no representation is intended that a complete search has been made by the applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

Dated: March 9, 2007

By: /Matthew B. Tropper/
Matthew B. Tropper
Registration No. 37,457

Mailing Address:
GREENBERG TRAURIG, LLP
MetLife Building
200 Park Avenue
New York, NY 10166
Phone: (212) 801-2100
Facsimile: (212) 801-6400

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Application Number	09/724,039
Filing Date	11/28/00
First Named Inventor	Butcher
Art Unit	3628
Examiner Name	Harish T. Dass
Attorney Docket Number	008878.110800

U. S. PATENT DOCUMENTS

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First Named Inventor	Butcher
Art Unit	3628
Examiner Name	Harish T. Dass
Attorney Docket Number	006878.110800

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Examiner's Search Strategy and Results (from U.S. Serial No. 09/896,629, filed 6/29/01). Search Results dated 1/11/06	
		Steven Bavaria, "Bear Stearns Launches CBO With Unusual Construction", The Investment Dealer's Digest: IDD, New York, Feb. 10, 1992	
		Lang Gibson, "Evaluating Credit Enhancement Floors In Equipment ABS", Securitization Conduit, Sept. 22, 2000	

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